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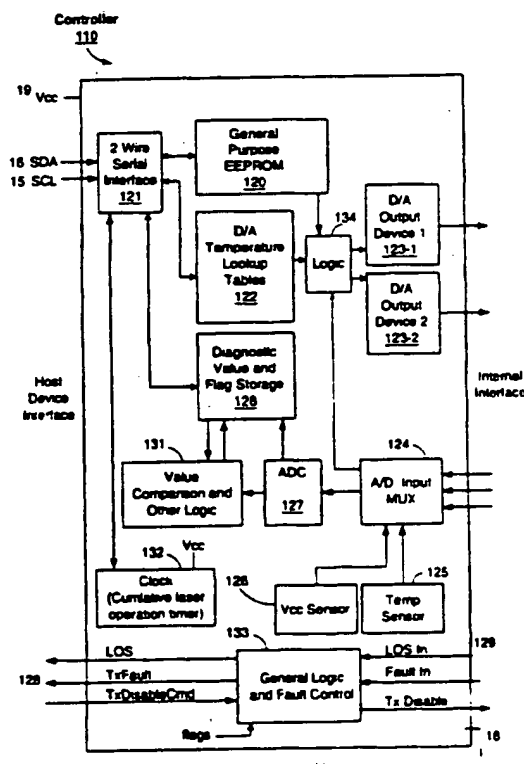
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(54) Title: INTEGRATED MEMORY CONTROLLER CIRCUIT FOR FIBER OPTICS TRANSCEIVER



(57) Abstract: A controller (110) for controlling a transceiver having a laser transmitter and a photodiode receiver. The controller includes memory (120, 122, 128) for storing information related to the transceiver, and analog to digital conversion circuitry (127) for receiving a plurality of analog signals from the laser transmitter and photodiode receiver, converting the received analog signals into digital values, and storing the digital values in predefined locations within the memory. Comparison logic (131) compares one or more of these digital values with limit values, generates flag values based on the comparisons, and stores the flag values in predefined locations within the memory. Control circuitry (123-1, 123-2) in the controller controls the operation of the laser transmitter in accordance with one or more values stored in the memory. A serial interface (121) is provided to enable a host device to read from and write to locations within the memory. Excluding a small number of binary input and output signals, all control and monitoring functions of the transceiver are mapped to unique memory mapped locations within the controller. A plurality of the control functions and a plurality of the monitoring functions of the controller are exercised by a host computer by accessing corresponding memory mapped locations within the controller.

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## INTEGRATED MEMORY MAPPED CONTROLLER CIRCUIT FOR FIBER OPTICS TRANSCEIVER

The present invention relates generally to the field of fiber optic transceivers and particularly to circuits used within the transceivers to accomplish control, setup, monitoring, and identification operations.

### 5 BACKGROUND OF INVENTION

The two most basic electronic circuits within a fiber optic transceiver are the laser driver circuit, which accepts high speed digital data and electrically drives an LED or laser diode to create equivalent optical pulses, and the receiver circuit which takes relatively small signals from an optical detector and amplifies and limits them to  
10 create a uniform amplitude digital electronic output. In addition to, and sometimes in conjunction with these basic functions, there are a number of other tasks that must be handled by the transceiver circuitry as well as a number of tasks that may optionally be handled by the transceiver circuit to improve its functionality. These tasks include, but are not necessarily limited to, the following:

- 15 • Setup functions. These generally relate to the required adjustments made on a part-to-part basis in the factory to allow for variations in component characteristics such as laser diode threshold current.
- Identification. This refers to general purpose memory, typically EEPROM (electrically erasable and programmable read only memory) or other nonvolatile  
20 memory. The memory is preferably accessible using a serial communication standard, that is used to store various information identifying the transceiver type, capability, serial number, and compatibility with various standards. While not standard, it would be desirable to further store in this memory additional information, such as sub-component revisions and factory test data.

- Eye safety and general fault detection. These functions are used to identify abnormal and potentially unsafe operating parameters and to report these to the user and/or perform laser shutdown, as appropriate.

In addition, it would be desirable in many transceivers for the control circuitry  
5 to perform some or all of the following additional functions:

- Temperature compensation functions. For example, compensating for known temperature variations in key laser characteristics such as slope efficiency.
  - Monitoring functions. Monitoring various parameters related to the transceiver operating characteristics and environment. Examples of parameters that it  
10 would be desirable to monitor include laser bias current, laser output power, received power level, supply voltage and temperature. Ideally, these parameters should be monitored and reported to, or made available to, a host device and thus to the user of the transceiver.
  - Power on time. It would be desirable for the transceiver's control circuitry to  
15 keep track of the total number of hours the transceiver has been in the power on state, and to report or make this time value available to a host device.
  - Margining. "Margining" is a mechanism that allows the end user to test the transceiver's performance at a known deviation from ideal operating conditions, generally by scaling the control signals used to drive the transceiver's active  
20 components.
  - Other digital signals. It would be desirable to enable a host device to be able to configure the transceiver so as to make it compatible with various requirements for the polarity and output types of digital inputs and outputs. For instance, digital inputs are used for transmitter disable and rate selection functions while outputs are used to  
25 indicate transmitter fault and loss of signal conditions. The configuration values would determine the polarity of one or more of the binary input and output signals. In some transceivers it would be desirable to use the configuration values to specify the scale of one or more of the digital input or output values, for instance by specifying a scaling factor to be used in conjunction with the digital input or output value.
- 30 Few if any of these additional functions are implemented in most transceivers, in part because of the cost of doing so. Some of these functions have been

implemented using discrete circuitry, for example using a general purpose EEPROM for identification purposes, by inclusion of some functions within the laser driver or receiver circuitry (for example some degree of temperature compensation in a laser driver circuit) or with the use of a commercial micro-controller integrated circuit.

- 5 However, to date there have not been any transceivers that provide a uniform device architecture that will support all of these functions, as well as additional functions not listed here, in a cost effective manner.

It is the purpose of the present invention to provide a general and flexible integrated circuit that accomplishes all (or any subset) of the above functionality using  
10 a straightforward memory mapped architecture and a simple serial communication mechanism.

Fig. 1 shows a schematic representation of the essential features of a typical prior-art fiber optic transceiver. The main circuit 1 contains at a minimum transmit and receiver circuit paths and power 19 and ground connections 18. The receiver  
15 circuit typically consists of a Receiver Optical Subassembly (ROSA) 2 which contains a mechanical fiber receptacle as well as a photodiode and pre-amplifier (preamp) circuit. The ROSA is in turn connected to a post-amplifier (postamp) integrated circuit 4, the function of which is to generate a fixed output swing digital signal which is connected to outside circuitry via the RX+ and RX- pins 17. The postamp circuit  
20 also often provides a digital output signal known as Signal Detect or Loss of Signal indicating the presence or absence of suitably strong optical input. The Signal Detect output is provided as an output on pin 18. The transmit circuit will typically consist of a Transmitter Optical Subassembly (TOSA), 3 and a laser driver integrated circuit 5. The TOSA contains a mechanical fiber receptacle as well as a laser diode or LED.  
25 The laser driver circuit will typically provide AC drive and DC bias current to the laser. The signal inputs for the AC driver are obtained from the TX+ and TX- pins 12. Typically, the laser driver circuitry will require individual factory setup of certain parameters such as the bias current (or output power) level and AC modulation drive to the laser. Typically this is accomplished by adjusting variable resistors or placing  
30 factory selected resistors 7, 9 (i.e., having factory selected resistance values). Additionally, temperature compensation of the bias current and modulation is often

required. This function can be integrated in the laser driver integrated circuit or accomplished through the use of external temperature sensitive elements such as thermistors 6, 8.

In addition to the most basic functions described above, some transceiver platform standards involve additional functionality. Examples of this are the TX disable 13 and TX fault 14 pins described in the GBIC standard. In the GBIC standard, the TX disable pin allows the transmitter to be shut off by the host device, while the TX fault pin is an indicator to the host device of some fault condition existing in the laser or associated laser driver circuit. In addition to this basic description, the GBIC standard includes a series of timing diagrams describing how these controls function and interact with each other to implement reset operations and other actions. Most of this functionality is aimed at preventing non-eyesafe emission levels when a fault conditions exists in the laser circuit. These functions may be integrated into the laser driver circuit itself or in an optional additional integrated circuit 11. Finally, the GBIC standard also requires the EEPROM 10 to store standardized serial ID information that can be read out via a serial interface (defined as using the serial interface of the ATMEL AT24C01A family of EEPROM products) consisting of a clock 15 and data 16 line.

As an alternative to mechanical fiber receptacles, some prior art transceivers use fiber optic pigtails which are standard, male fiber optic connectors.

Similar principles clearly apply to fiber optic transmitters or receivers that only implement half of the full transceiver functions.

#### SUMMARY OF THE INVENTION

The present invention is preferably implemented as a single-chip integrated circuit, sometimes called a controller, for controlling a transceiver having a laser transmitter and a photodiode receiver. The controller includes memory for storing information related to the transceiver, and analog to digital conversion circuitry for receiving a plurality of analog signals from the laser transmitter and photodiode receiver, converting the received analog signals into digital values, and storing the digital values in predefined locations within the memory. Comparison logic compares

one or more of these digital values with limit values, generates flag values based on the comparisons, and stores the flag values in predefined locations within the memory. Control circuitry in the controller controls the operation of the laser transmitter in accordance with one or more values stored in the memory. A serial interface is provided to enable a host device to read from and write to locations within the memory. A plurality of the control functions and a plurality of the monitoring functions of the controller are exercised by a host computer by accessing corresponding memory mapped locations within the controller.

In some embodiments the controller further includes a cumulative clock for generating a time value corresponding to cumulative operation time of the transceiver, wherein the generated time value is readable via the serial interface.

In some embodiments the controller further includes a power supply voltage sensor that generates a power level signal corresponding to a power supply voltage level of the transceiver. In these embodiments the analog to digital conversion circuitry is configured to convert the power level signal into a digital power level value and to store the digital power level value in a predefined power level location within the memory. Further, the comparison logic of the controller may optionally include logic for comparing the digital power level value with a power (i.e., voltage) level limit value, generating a flag value based on the comparison of the digital power level signal with the power level limit value, and storing a power level flag value in a predefined power level flag location within the memory. It is noted that the power supply voltage sensor measures the transceiver voltage supply level, which is distinct from the power level of the received optical signal.

In some embodiments the controller further includes a temperature sensor that generates a temperature signal corresponding to a temperature of the transceiver. In these embodiments the analog to digital conversion circuitry is configured to convert the temperature signal into a digital temperature value and to store the digital temperature value in a predefined temperature location within the memory. Further, the comparison logic of the controller may optionally include logic for comparing the digital temperature value with a temperature limit value, generating a flag value based on the comparison of the digital temperature signal with the temperature limit value,



and storing a temperature flag value in a predefined temperature flag location within the memory.

In some embodiments the controller further includes "margining" circuitry for adjusting one or more control signals generated by the control circuitry in accordance with an adjustment value stored in the memory.

### BRIEF DESCRIPTION OF THE DRAWINGS

Additional objects and features of the invention will be more readily apparent from the following detailed description and appended claims when taken in conjunction with the drawings, in which:

Fig. 1 is a block diagram of a prior art optoelectronic transceiver.

Fig. 2 is a block diagram of an optoelectronic transceiver in accordance with the present invention.

Fig. 3 is a block diagram of modules within the controller of the optoelectronic transceiver of Fig. 2.

### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

A transceiver 100 based on the present invention is shown in Figs. 2 and 3. The transceiver 100 contains a Receiver Optical Subassembly (ROSA) 102 and Transmitter Optical Subassembly (TOSA) 103 along with associated post-amplifier 104 and laser driver 105 integrated circuits that communicate the high speed electrical signals to the outside world. In this case, however, all other control and setup functions are implemented with a third single-chip integrated circuit 110 called the controller IC.

The controller IC 110 handles all low speed communications with the end user. These include the standardized pin functions such as Loss of Signal (LOS) 111, Transmitter Fault Indication (TX FAULT) 14, and the Transmitter Disable Input (TXDIS) 13. The controller IC 110 has a two wire serial interface 121, also called the memory interface, for accessing memory mapped locations in the controller. Memory Map Tables 1, 2, 3 and 4, below, are an exemplary memory map for one embodiment of a transceiver controller, as implemented in one embodiment of the present

invention. It is noted that Memory Map Tables 1, 2, 3 and 4, in addition to showing a memory map of values and control features described in this document, also show a number of parameters and control mechanisms that are outside the scope of this document and thus are not part of the present invention.

5       The interface 121 is coupled to host device interface input/output lines, typically clock (SCL) and data (SDA) lines, 15 and 16. In the preferred embodiment, the serial interface 121 operates in accordance with the two wire serial interface standard that is also used in the GBIC and SFP standards, however other serial  
10   interface 121 is used for all setup and querying of the controller IC 110, and enables access to the optoelectronic transceiver's control circuitry as a memory mapped device. That is, tables and parameters are set up by writing values to predefined memory locations of one or more nonvolatile memory devices 120, 122, 128 (e.g., EEPROM devices) in the controller, whereas diagnostic and other output and status  
15   values are output by reading predetermined memory locations of the same nonvolatile memory devices 120, 121, 122. This technique is consistent with currently defined serial ID functionality of many transceivers where a two wire serial interface is used to read out identification and capability data stored in EEPROM.

It is noted here that some of the memory locations in the memory devices 120,  
20   122, 128 are dual ported, or even triple ported in some instances. That is, while these memory mapped locations can be read and in some cases written via the serial interface 121, they are also directly accessed by other circuitry in the controller 110. For instance, certain "margin" values stored in memory 120 are read and used directly by logic 134 to adjust (i.e., scale upwards or downwards) drive level signals  
25   being sent to the D/A output devices 123. Similarly, there are flags stored memory 128 that are (A) written by logic circuit 131, and (B) read directly by logic circuit 133. An example of a memory mapped location not in memory devices but that is effectively dual ported is the output or result register of clock 132. In this case the accumulated time value in the register is readable via the serial interface 121, but is  
30   written by circuitry in the clock circuit 132.

In addition to the result register of the clock 132, other memory mapped locations in the controller may be implemented as registers at the input or output of respective sub-circuits of the controller. For instance, the margining values used to control the operation of logic 134 may be stored in registers in or near logic 134 instead of being stored within memory device 128. In another example, measurement values generated by the ADC 127 may be stored in registers. The memory interface 121 is configured to enable the memory interface to access each of these registers whenever the memory interface receives a command to access the data stored at the corresponding predefined memory mapped location. In such embodiments, "locations within the memory" include memory mapped registers throughout the controller.

In an alternate embodiment, the time value in the result register of the clock 132, or a value corresponding to that time value, is periodically stored in a memory location with the memory 128 (e.g., this may be done once per minute, or one per hour of device operation). In this alternate embodiment, the time value read by the host device via interface 121 is the last time value stored into the memory 128, as opposed to the current time value in the result register of the clock 132.

As shown in Figs. 2 and 3, the controller IC 110 has connections to the laser driver 105 and receiver components. These connections serve multiple functions. The controller IC has a multiplicity of D/A converters 123. In the preferred embodiment the D/A converters are implemented as current sources, but in other embodiments the D/A converters may be implemented using voltage sources, and in yet other embodiments the D/A converters may be implemented using digital potentiometers. In the preferred embodiment, the output signals of the D/A converters are used to control key parameters of the laser driver circuit 105. In one embodiment, outputs of the D/A converters 123 are used to directly control the laser bias current as well as control of the level AC modulation to the laser (constant bias operation). In another embodiment, the outputs of the D/A converters 123 of the controller 110 control the level of average output power of the laser driver 105 in addition to the AC modulation level (constant power operation).

In a preferred embodiment, the controller 110 includes mechanisms to compensate for temperature dependent characteristics of the laser. This is

implemented in the controller 110 through the use of temperature lookup tables 122 that are used to assign values to the control outputs as a function of the temperature measured by a temperature sensor 125 within the controller IC 110. In alternate embodiments, the controller 110 may use D/A converters with voltage source outputs or may even replace one or more of the D/A converters 123 with digital potentiometers to control the characteristics of the laser driver 105. It should also be noted that while Fig. 2 refers to a system where the laser driver 105 is specifically designed to accept inputs from the controller 110, it is possible to use the controller IC 110 with many other laser driver ICs to control their output characteristics.

10 In addition to temperature dependent analog output controls, the controller IC may be equipped with a multiplicity of temperature independent (one memory set value) analog outputs. These temperature independent outputs serve numerous functions, but one particularly interesting application is as a fine adjustment to other settings of the laser driver 105 or postamp 104 in order to compensate for process induced variations in the characteristics of those devices. One example of this might be the output swing of the receiver postamp 104. Normally such a parameter would be fixed at design time to a desired value through the use of a set resistor. It often turns out, however, that normal process variations associated with the fabrication of the postamp integrated circuit 104 induce undesirable variations in the resulting output swing with a fixed set resistor. Using the present invention, an analog output of the controller IC 110, produced by an additional D/A converter 123, is used to adjust or compensate the output swing setting at manufacturing setup time on a part-by-part basis.

25 In addition to the connection from the controller to the laser driver 105, Fig. 2 shows a number of connections from the laser driver 105 to the controller IC 110, as well as similar connections from the ROSA 106 and Postamp 104 to the controller IC 110. These are analog monitoring connections that the controller IC 110 uses to provide diagnostic feedback to the host device via memory mapped locations in the controller IC. The controller IC 110 in the preferred embodiment has a multiplicity of analog inputs. The analog input signals indicate operating conditions of the transceiver and/or receiver circuitry. These analog signals are scanned by a

multiplexer 124 and converted using an analog to digital converter (ADC) 127. The ADC 127 has 12 bit resolution in the preferred embodiment, although ADC's with other resolution levels may be used in other embodiments. The converted values are stored in predefined memory locations, for instance in the diagnostic value and flag storage device 128 shown in Fig. 3, and are accessible to the host device via memory reads. These values are calibrated to standard units (such as millivolts or microwatts) as part of a factory calibration procedure.

The digitized quantities stored in memory mapped locations within the controller IC include, but are not limited to, the laser bias current, transmitted laser power, and received power (as measured by the photodiode detector in the ROSA 102). In the memory map tables (e.g., Table 1), the measured laser bias current is denoted as parameter  $B_{in}$ , the measured transmitted laser power is denoted as  $P_{in}$ , and the measured received power is denoted as  $R_{in}$ . The memory map tables indicate the memory locations where, in an exemplary implementation, these measured values are stored, and also show where the corresponding limit values, flag values, and configuration values (e.g., for indicating the polarity of the flags) are stored.

As shown in Fig. 3, the controller 110 includes a voltage supply sensor 126. An analog voltage level signal generated by this sensor is converted to a digital voltage level signal by the ADC 127, and the digital voltage level signal is stored in memory 128. In a preferred embodiment, the A/D input mux 124 and ADC 127 are controlled by a clock signal so as to automatically, periodically convert the monitored signals into digital signals, and to store those digital values in memory 128.

Furthermore, as the digital values are generated, the value comparison logic 131 of the controller compares these values to predefined limit values. The limit values are preferably stored in memory 128 at the factory, but the host device may overwrite the originally programmed limit values with new limit values. Each monitored signal is automatically compared with both a lower limit and upper limit value, resulting in the generation of two limit flag values that are then stored in the diagnostic value and flag storage device 128. For any monitored signals where there is no meaningful upper or lower limit, the corresponding limit value can be set to a value that will never cause the corresponding flag to be set.

The limit flags are also sometimes call alarm and warning flags. The host device (or end user) can monitor these flags to determine whether conditions exist that are likely to have caused a transceiver link to fail (alarm flags) or whether conditions exist which predict that a failure is likely to occur soon. Examples of such conditions

5 might be a laser bias current which has fallen to zero, which is indicative of an immediate failure of the transmitter output, or a laser bias current in a constant power mode which exceeds its nominal value by more than 50%, which is an indication of a laser end-of-life condition. Thus, the automatically generated limit flags are useful because they provide a simple pass-fail decision on the transceiver functionality based

10 on internally stored limit values.

In a preferred embodiment, fault control and logic circuit 133 logically OR's the alarm and warning flags, along with the internal LOS (loss of signal) input and Fault Input signals, to produce a binary Transceiver fault (TxFault) signal that is coupled to the host interface, and thus made available to the host device. The host

15 device can be programmed to monitor the TxFault signal, and to respond to an assertion of the TxFault signal by automatically reading all the alarm and warning flags in the transceiver, as well as the corresponding monitored signals, so as to determine the cause of the alarm or warning.

The fault control and logic circuit 133 furthermore conveys a loss of signal (LOS) signal received from the receiver circuit (ROSA, Fig. 2) to the host interface.

20

Another function of the fault control and logic circuit 133 is to disable the operation of the transmitter (TOSA, Fig. 2) when needed to ensure eye safety. There is a standards defined interaction between the state of the laser driver and the Tx Disable output, which is implemented by the fault control and logic circuit 133.

25 When the logic circuit 133 detects a problem that might result in an eye safety hazard, the laser driver is disabled by activating the Tx Disable signal of the controller. The host device can reset this condition by sending a command signal on the TxDisableCmd line of the host interface.

Yet another function of the fault control and logic circuit 133 is to determine the polarity of its input and output signals in accordance with a set of configuration flags stored in memory 128. For instance, the Loss of Signal (LOS) output of circuit

30

133 may be either a logic low or logic high signal, as determined by a corresponding configuration flag stored in memory 128.

Other configuration flags (see Table 4) stored in memory 128 are used to determine the polarity of each of the warning and alarm flags. Yet other configuration values stored in memory 128 are used to determine the scaling applied by the ADC 127 when converting each of the monitored analog signals into digital values.

In an alternate embodiment, another input to the controller 102, at the host interface, is a rate selection signal. In Fig. 3 the rate selection signal is input to logic 133. This host generated signal would typically be a digital signal that specifies the expected data rate of data to be received by the receiver (ROSA 102). For instance, the rate selection signal might have two values, representing high and low data rates (e.g., 2.5 Gb/s and 1.25 Gb/s). The controller responds to the rate selection signal by generating control signals to set the analog receiver circuitry to a bandwidth corresponding to the value specified by the rate selection signal.

While the combination of all of the above functions is desired in the preferred embodiment of this transceiver controller, it should be obvious to one skilled in the art that a device which only implements a subset of these functions would also be of great use. Similarly, the present invention is also applicable to transmitters and receivers, and thus is not solely applicable to transceivers. Finally, it should be pointed out that the controller of the present invention is suitable for application of multichannel optical links.

TABLE 1  
MEMORY MAP FOR TRANSCEIVER CONTROLLER

5	Memory Location (Array 0)	Name of Location	Function
	00h - 5Fh	IEEE Data	This memory block is used to store required GBIC data
	60h	Temperature MSB	This byte contains the MSB of the 15-bit 2's complement temperature output from the temperature sensor.
	61h	Temperature LSB	This byte contains the LSB of the 15-bit 2's complement temperature output from the temperature sensor. (LSB is 0b).
10	62h - 63h	$V_{cc}$ Value	These bytes contain the MSB (62h) and the LSB (63h) of the measured $V_{cc}$ (15-bit number, with a 0b LSbit)
	64h - 65h	$B_m$ Value	These bytes contain the MSB (64h) and the LSB (65h) of the measured $B_m$ (laser bias current) (15-bit number, with a 0b LSbit)
	66h - 67h	$P_m$ Value	These bytes contain the MSB (66h) and the LSB (67h) of the measured $P_m$ (transmitted laser power) (15-bit number, with a 0b LSbit)
	68h - 69h	$R_m$ Value	These bytes contain the MSB (68h) and the LSB (69h) of the measured $R_m$ (received power) (15-bit number, with a 0b LSbit)
15	6Ah - 6Dh	Reserved	Reserved
	6Eh	IO States	This byte shows the logical value of the I/O pins
	6Fh	A/D Updated	Allows the user to verify if an update from the A/D has occurred to the 5 values: temperature, $V_{cc}$ , $B_m$ , $P_m$ and $R_m$ . The user writes the byte to 00h. Once a conversion is complete for a give value, its bit will change to '1'.
	70h - 73h	Alarm Flags	These bits reflect the state of the alarms as a conversion updates. High alarm bits are '1' if converted value is greater than corresponding high limit. Low alarm bits are '1' if converted value is less than corresponding low limit. Otherwise, bits are 0b.
20	74h - 77h	Warning Flags	These bits reflect the state of the warnings as a conversion updates. High warning bits are '1' if converted value is greater than corresponding high limit. Low warning bits are '1' if converted value is less than corresponding low limit. Otherwise, bits are 0b.
	78h - 7Ah	Reserved	Reserved



Memory Location (Array 0)	Name of Location	Function
5 7Bh - 7Eh	Password Entry Bytes PWE Byte 3 (7Bh) MSByte PWE Byte 2 (7Ch) PWE Byte 1 (7Dh) PWE Byte 0 (7Eh) LSByte	The four bytes are used for password entry. The entered password will determine the user's read/write privileges.
10 7Fh	Array Select	Writing to this byte determines which of the upper pages of memory is selected for reading and writing.  0xh (Array x Selected)  Where x = 1, 2, 3, 4 or 5
15 80h-FFh		Reserved / not currently implemented

Memory Location (Array 1)	Name of Location	Function of Location
20 80h - FFh		Data EEPROM

Memory Location (Array 2)	Name of Location	Function of Location
25 80h - FFh		Data EEPROM

Memory Location (Array 3)	Name of Location	Function of Location
30 80h - 81h 88h - 89h 90h - 91h 98h - 99h A0h - A1h	Temperature High Alarm $V_{cc}$ High Alarm $B_{in}$ High Alarm $P_{in}$ High Alarm $R_{in}$ High Alarm	The value written to this location serves as the high alarm limit. Data format is the same as the corresponding value (temperature, $V_{cc}$ , $B_{in}$ , $P_{in}$ , $R_{in}$ ).
35 82h - 83h 8Ah - 8Bh 92h - 93h 9Ah - 9Bh A2h - A3h	Temperature Low Alarm $V_{cc}$ Low Alarm $B_{in}$ Low Alarm $P_{in}$ Low Alarm $R_{in}$ Low Alarm	The value written to this location serves as the low alarm limit. Data format is the same as the corresponding value (temperature, $V_{cc}$ , $B_{in}$ , $P_{in}$ , $R_{in}$ ).

Memory Location (Array 3)	Name of Location	Function of Location
5 84h - 85h 8Ch - 8Dh 94h - 95h 9Ch - 9Dh A4h - A5h	Temp High Warning $V_{cc}$ High Warning $B_m$ High Warning $P_m$ High Warning $R_m$ High Warning	The value written to this location serves as the high warning limit. Data format is the same as the corresponding value (temperature, $V_{cc}$ , $B_m$ , $P_m$ , $R_m$ ).
10 86h - 87h 8Eh - 8Fh 96h - 97h 9Eh - 9Fh A6h - A7h	Temperature Low Warning $V_{cc}$ Low Warning $B_m$ Low Warning $P_m$ Low Warning $R_m$ Low Warning	The value written to this location serves as the low warning limit. Data format is the same as the corresponding value (temperature, $V_{cc}$ , $B_m$ , $P_m$ , $R_m$ ).
15 A8h - AFh, C5h B0h - B7h, C6h B8h - BFh, C7h	$D_{out}$ control 0-8 $F_{out}$ control 0-8 $L_{out}$ control 0-8	Individual bit locations are defined in Table 4.
20 C0h	Reserved	Reserved
C1h	Prescale	Selects MCLK divisor for X-delay CLKS.
C2h C3h C4h	$D_{out}$ Delay $F_{out}$ Delay $L_{out}$ Delay	Selects number of prescale clocks
25 C8h - C9h CAh - CBh CCh - CDh CEh - CFh	$V_{cc}$ - A/D Scale $B_m$ - A/D Scale $P_m$ - A/D Scale $R_m$ - A/D Scale	16 bits of gain adjustment for corresponding A/D conversion values.
D0h	Chip Address	Selects chip address when external pin ASEL is low.
D1h	Margin #2	Finisar Selective Percentage (FSP) for D/A #2
D2h	Margin #1	Finisar Selective Percentage (FSP) for D/A #1
30 D3h - D6h	PW1 Byte 3 (D3h) MSB PW1 Byte 2 (D4h) PW1 Byte 1 (D5h) PW1 Byte 0 (D6h) LSB	The four bytes are used for password 1 entry. The entered password will determine the Finisar customer's read/write privileges.
35 D7h	D/A Control	This byte determines if the D/A outputs source or sink current, and it allows for the outputs to be scaled.
D8h - DFh	$B_m$ Fast Trip	These bytes define the fast trip comparison over temperature.
E0h - E3h	$P_m$ Fast Trip	These bytes define the fast trip comparison over temperature.

5	<b>Memory Location (Array 3)</b>	<b>Name of Location</b>	<b>Function of Location</b>
	E4h - E7h	R <sub>in</sub> Fast Trip	These bytes define the fast trip comparison over temperature.
	E8h	Configuration Override Byte	Location of the bits is defined in Table 4
	E9h	Reserved	Reserved
	EAh - EBh	Internal State Bytes	Location of the bits is defined in Table 4
	ECh	I/O States 1	Location of the bits is defined in Table 4
	EDh - EEh	D/A Out	Magnitude of the temperature compensated D/A outputs
	EFh	Temperature Index	Address pointer to the look-up Arrays
10	F0h - FFh	Reserved	Reserved
15	<b>Memory Location (Array 4)</b>	<b>Name of Location</b>	<b>Function of Location</b>
	00h - FFh		D/A Current vs. Temp #1 (User-Defined Look-up Array #1)
	<b>Memory Location (Array 5)</b>	<b>Name of Location</b>	<b>Function of Location</b>
	00h - FFh		D/A Current vs. Temp #2 (User-Defined Look-up Array #2)

TABLE 2 - DETAIL MEMORY DESCRIPTIONS - A/D VALUES AND STATUS BITS

Byte	Bit	Name	Description
Converted analog values. Calibrated 16 bit data.			
5	96 (60h)	All	Temperature MSB Signed 2's complement integer temperature (-40 to +125C) Based on internal temperature measurement
	97	All	Temperature LSB Fractional part of temperature (count/256)
	98	All	V <sub>cc</sub> MSB Internally measured supply voltage in transceiver. Actual voltage is full 16 bit value * 100 uVolt.
10	99	All	V <sub>cc</sub> LSB (Yields range of 0 - 6.55V)
	100	All	TX Bias MSB Measured TX Bias Current in mA. Bias current is full 16 bit value *(1/256) mA.
	101	All	TX Bias LSB (Full range of 0 - 256 mA possible with 4 uA resolution)
15	102	All	TX Power MSB Measured TX output power in mW. Output is full 16 bit value *(1/2048) mW.
	103	All	TX Power LSB Full range of 0 - 32 mW possible with 0.5 $\mu$ W resolution, or -33 to +15 dBm)
	104	All	RX Power MSB Measured RX input power in mW. RX power is full 16 bit value *(1/16384) mW.
20	105	All	RX Power LSB (Full range of 0 - 4 mW possible with 0.06 $\mu$ W resolution, or -42 to +6 dBm)
	106	All	Reserved MSB Reserved for 1 <sup>st</sup> future definition of digitized analog input
	107	All	Reserved LSB Reserved for 1 <sup>st</sup> future definition of digitized analog input
25	108	All	Reserved MSB Reserved for 2 <sup>nd</sup> future definition of digitized analog input
	109	All	Reserved LSB Reserved for 2 <sup>nd</sup> future definition of digitized analog input
30	General Status Bits		
	110	7	TX Disable Digital state of the TX Disable Input Pin
	110	6	Reserved
35	110	5	Reserved
	110	4	Rate Select Digital state of the SFP Rate Select Input Pin
	110	3	Reserved
40	110	2	TX Fault Digital state of the TX Fault Output Pin
	110	1	LOS Digital state of the LOS Output Pin
	110	0	Power-On-Logic Indicates transceiver has achieved power up and data valid
40	111	7	Temp A/D Valid Indicates A/D value in Bytes 96/97 is valid
	111	6	V <sub>cc</sub> A/D Valid Indicates A/D value in Bytes 98/99 is valid

5

Byte	Bit	Name	Description
111	5	TX Bias A/D Valid	Indicates A/D value in Bytes 100/101 is valid
111	4	TX Power A/D Valid	Indicates A/D value in Bytes 102/103 is valid
111	3	RX Power A/D Valid	Indicates A/D value in Bytes 104/105 is valid
111	2	Reserved	Indicates A/D value in Bytes 106/107 is valid
111	1	Reserved	Indicates A/D value in Bytes 108/109 is valid
111	0	Reserved	Reserved

TABLE 3 - DETAIL MEMORY DESCRIPTIONS - ALARM AND WARNING FLAG BITS

	Byte	Bit	Name	Description
	Alarm and Warning Flag Bits			
5	112	7	Temp High Alarm	Set when internal temperature exceeds high alarm level.
	112	6	Temp Low Alarm	Set when internal temperature is below low alarm level.
10	112	5	V <sub>cc</sub> High Alarm	Set when internal supply voltage exceeds high alarm level.
	112	4	V <sub>cc</sub> Low Alarm	Set when internal supply voltage is below low alarm level.
	112	3	TX Bias High Alarm	Set when TX Bias current exceeds high alarm level.
15	112	2	TX Bias Low Alarm	Set when TX Bias current is below low alarm level.
	112	1	TX Power High Alarm	Set when TX output power exceeds high alarm level.
20	112	0	TX Power Low Alarm	Set when TX output power is below low alarm level.
	113	7	RX Power High Alarm	Set when Received Power exceeds high alarm level.
	113	6	RX Power Low Alarm	Set when Received Power is below low alarm level.
25	113	5-0	Reserved Alarm	
	114	All	Reserved	
	115	All	Reserved	
	116	7	Temp High Warning	Set when internal temperature exceeds high warning level.
30	116	6	Temp Low Warning	Set when internal temperature is below low warning level.
	116	5	V <sub>cc</sub> High Warning	Set when internal supply voltage exceeds high warning level.
35	116	4	V <sub>cc</sub> Low Warning	Set when internal supply voltage is below low warning level.
	116	3	TX Bias High Warning	Set when TX Bias current exceeds high warning level.
	116	2	TX Bias Low Warning	Set when TX Bias current is below low warning level.
40	116	1	TX Power High Warning	Set when TX output power exceeds high warning level.
	116	0	TX Power Low Warning	Set when TX output power is below low warning level.
45	117	7	RX Power High Warning	Set when Received Power exceeds high warning level.

	Byte	Bit	Name	Description
	117	6	RX Power Low Warning	Set when Received Power is below low warning level.
	117	5	Reserved Warning	
	117	4	Reserved Warning	
5	117	3	Reserved Warning	
	117	2	Reserved Warning	
	117	1	Reserved Warning	
	117	0	Reserved Warning	
	118	All	Reserved	
10	119	All	Reserved	

TABLE 4

Byte Name	Bit 7	Bit 6	Bit 5	Bit 4	Bit 3	Bit 2	Bit 1	Bit 0
5 X-out cnt10	T alrm hi set	T alrm lo set	V alrm hi set	V alrm lo set	B alrm hi set	B alrm lo set	p alrm hi set	P alrm lo set
X-out cnt11	R alrm hi set	R alrm lo set	B ft hi set	P ft hi set	R ft hi set	D-in inv set	D-in set	F-in inv set
X-out cnt12	F-in set	L-in inv set	L-in set	Aux inv set	Aux set	T alrm hi hib	T alrm lo hib	V alrm hi hib
X-out cnt13	V alrm lo hib	B alrm hi hib	B alrm lo hib	P alrm hi hib	P alrm lo hib	R alrm hi hib	R alrm lo hib	B ft hi hib
X-out cnt14	P ft hi hib	R ft hi hib	D-in inv hib	D-in hib	F-in inv hib	F-in hib	L-in inv hib	L-in hib
10 X-out cnt15	Aux inv hib	Aux hib	T alrm hi clr	T alrm lo clr	V alrm hi clr	V alrm lo clr	B alrm hi clr	B alrm lo clr
X-out cnt16	P alrm hi clr	P alrm lo clr	R alrm hi clr	R alrm lo clr	B ft hi clr	P ft hi clr	R ft hi clr	D-in inv clr
X-out cnt17	D-in clr	F-in inv clr	F-in clr	L-in inv clr	L-in clr	Aux inv clr	Aux clr	EE
X-out cnt18	latch select	invert	o-ride data	o-ride select	S reset data	HI enable	LO enable	Pullup enable
Prescale	reserved	reserved	Reserved	reserved	B <sup>3</sup>	B <sup>2</sup>	B <sup>1</sup>	B <sup>0</sup>
15 X-out delay	B <sup>7</sup>	B <sup>6</sup>	B <sup>5</sup>	B <sup>4</sup>	B <sup>3</sup>	B <sup>2</sup>	B <sup>1</sup>	B <sup>0</sup>
chip address	b <sup>7</sup>	b <sup>6</sup>	b <sup>5</sup>	b <sup>4</sup>	b <sup>3</sup>	b <sup>2</sup>	b <sup>1</sup>	x
X-ad scale MSB	2 <sup>15</sup>	2 <sup>14</sup>	2 <sup>13</sup>	2 <sup>12</sup>	2 <sup>11</sup>	2 <sup>10</sup>	2 <sup>9</sup>	2 <sup>8</sup>
20 X-ad scale LSB	2 <sup>7</sup>	2 <sup>6</sup>	2 <sup>5</sup>	2 <sup>4</sup>	2 <sup>3</sup>	2 <sup>2</sup>	2 <sup>1</sup>	2 <sup>0</sup>
D/A cnt1	source/sink	D/A #2 range			source/sink	D/A #1 range		
	I/O	2 <sup>3</sup>	2 <sup>1</sup>	2 <sup>0</sup>	I/O	2 <sup>3</sup>	2 <sup>1</sup>	2 <sup>0</sup>
config/O-ride	manual D/A	manual index	manual AD alarm	EE Bar	SW-POR	A/D Enable	Manual fast alarm	reserved
25 Internal State 1	D-set	D-inhibit	D-delay	D-clear	F-set	F-inhibit	F-delay	F-clear
Internal State 0	L-set	L-inhibit	L-delay	L-clear	reserved	reserved	reserved	reserved
I/O States 1	reserved	F-in	L-in	reserved	D-out	reserved	reserved	reserved
Margin #1	Reserved	Neg_Scale <sub>2</sub>	Neg_Scale <sub>1</sub>	Neg_Scale <sub>0</sub>	Reserved	Pos_Scale <sub>2</sub>	Pos_Scale <sub>1</sub>	Pos_Scale <sub>0</sub>
30 Margin #2	Reserved	Neg_Scale <sub>2</sub>	Neg_Scale <sub>1</sub>	Neg_Scale <sub>0</sub>	Reserved	Pos_Scale <sub>2</sub>	Pos_Scale <sub>1</sub>	Pos_Scale <sub>0</sub>



## WHAT IS CLAIMED IS:

- 1 1. A single-chip integrated circuit for controlling an optoelectronic transceiver  
2 having a laser transmitter and a photodiode receiver, comprising:  
3 memory, including one or more memory arrays for storing information related  
4 to the transceiver;  
5 analog to digital conversion circuitry for receiving a plurality of analog signals  
6 from the laser transmitter and photodiode receiver, converting the received analog  
7 signals into digital values, and storing the digital values in predefined locations within  
8 the memory;  
9 control circuitry configured to generate control signals to control operation of  
10 the laser transmitter in accordance with one or more values stored in the memory;  
11 an interface for reading from and writing to locations within the memory; and  
12 comparison logic for comparing the digital values with limit values, generating  
13 flag values based on the limit values, and storing the flag values in predefined  
14 locations within the memory.
- 1 2. The single-chip integrated circuit of claim 1, further including:  
2 a cumulative clock for generating a time value corresponding to cumulative  
3 operation time of the transceiver, wherein the generated time value is readable via the  
4 interface.
- 1 3. The single-chip integrated circuit of claim 1, further including:  
2 a power supply voltage sensor coupled to the analog to digital conversion  
3 circuitry, the power supply voltage sensor generating a power level signal  
4 corresponding to a power supply voltage level of the transceiver, wherein the analog  
5 to digital conversion circuitry is configured to convert the power level signal into a  
6 digital power level value and to store the digital power level value in a predefined  
7 power level location within the memory.

1 4. The single-chip integrated circuit of claim 3, wherein  
2 the comparison logic includes logic for comparing the digital power level  
3 value with a power level limit value, generating a power level flag value based on the  
4 comparison of the digital power level signal with the power level limit value, and  
5 storing the power level flag value in a predefined power level flag location within the  
6 memory.

1 5. The single-chip integrated circuit of claim 1, further including:  
2 a temperature sensor coupled to the analog to digital conversion circuitry, the  
3 temperature sensor generating a temperature signal corresponding to a temperature of  
4 the transceiver, wherein the analog to digital conversion circuitry is configured to  
5 convert the temperature signal into a digital temperature value and to store the digital  
6 temperature value in a predefined temperature location within the memory.

1 6. The single-chip integrated circuit of claim 5, wherein  
2 the comparison logic includes logic for comparing the digital temperature  
3 value with a temperature limit value, generating a temperature flag value based on the  
4 comparison of the digital temperature signal with the temperature limit value, and  
5 storing the temperature flag value in a predefined temperature flag location within the  
6 memory.

1 7. The single-chip integrated circuit of claim 1, further including  
2 fault handling logic, coupled to the transceiver for receiving at least one fault  
3 signal from the transceiver, coupled to the memory to receive at least one flag value  
4 stored in the memory, and coupled to a host interface to transmit a computed fault  
5 signal, the fault handling logic including computational logic for logically combining  
6 the at least one fault signal received from the transceiver and the at least one flag  
7 value received from the memory to generate the computed fault signal.

1 8. A single-chip integrated circuit for controlling an optoelectronic device,  
2 comprising:

3 memory, including one or more memory arrays for storing information related  
4 to the optoelectronic device;  
5 analog to digital conversion circuitry for receiving a plurality of analog signals  
6 from the optoelectronic device, the analog signals corresponding to operating  
7 conditions of the optoelectronic device, converting the received analog signals into  
8 digital values, and storing the digital values in predefined locations within the  
9 memory; and  
10 a memory interface for reading from and writing to locations within the  
11 memory in accordance with commands received from a host device.

1 9. A single-chip integrated circuit for controlling an optoelectronic transceiver  
2 having a laser transmitter and a photodiode receiver, comprising:  
3 analog to digital conversion circuitry for receiving a plurality of analog signals  
4 from the laser transmitter and photodiode receiver, converting the received analog  
5 signals into digital values, and storing the digital values in predefined memory  
6 mapped locations within the integrated circuit;  
7 comparison logic for comparing the digital values with limit values, generating  
8 flag values based on the limit values, and storing the flag values in predefined  
9 memory mapped locations within the integrated circuit;  
10 control circuitry configured to generate control signals to control operation of  
11 the laser transmitter in accordance with one or more values stored in the integrated  
12 circuit; and  
13 a memory mapped interface for reading from and writing to locations within  
14 the integrated circuit and for accessing memory mapped locations within the  
15 integrated circuit for controlling operation of the control circuitry.

1 10. A method of controlling an optoelectronic transceiver having a laser  
2 transmitter and a photodiode receiver, comprising:  
3 in accordance with instructions received from a host device, reading from and  
4 writing to locations within a memory, and

5 receiving a plurality of analog signals from the laser transmitter and  
6 photodiode receiver, converting the received analog signals into digital values, and  
7 storing the digital values in predefined locations within the memory;  
8 comparing the digital values with limit values, generating flag values based on  
9 the limit values, and storing the flag values in predefined locations within the  
10 memory;  
11 generating control signals to control operation of the laser transmitter in  
12 accordance with one or more values stored in the memory.

1 11. The method of claim 10, further including:

2 generating a time value corresponding to cumulative operation time of the  
3 transceiver, wherein the generated time value is readable by the host device via the  
4 memory interface.

1 12. The method of claim 10, further including:

2 converting an analog power supply voltage level signal, corresponding to a  
3 voltage level of the transceiver, into a digital power level value and storing the digital  
4 power level value in a predefined power level location within the memory.

1 13. The method integrated circuit of claim 12, including

2 comparing the digital power level value with a power level limit value,  
3 generating a power level flag value based on the comparison of the digital power level  
4 signal with the power level limit value, and storing the power level flag value in a  
5 predefined power level flag location within the memory.

1 14. The method of claim 10, further including:

2 generating a temperature signal corresponding to a temperature of the  
3 transceiver, converting the temperature signal into a digital temperature value and  
4 storing the digital temperature value in a predefined temperature location within the  
5 memory.

- 1 15. The method of claim 14, including:  
2 comparing the digital temperature value with a temperature limit value,  
3 generating a temperature flag value based on the comparison of the digital  
4 temperature signal with the temperature limit value, and storing the temperature flag  
5 value in a predefined temperature flag location within the memory.
- 1 16. The method of 10, further including  
2 receiving at least one fault signal from the transceiver, receiving at least one  
3 flag value stored in the memory, logically combining the at least one fault signal  
4 received from the transceiver and the at least one flag value received from the memory  
5 to generate a computed fault signal, and transmitting the computed fault signal to the  
6 host device.
- 1 17. A method of controlling an optoelectronic device, comprising:  
2 in accordance with instructions received from a host device, reading from and  
3 writing to locations within a memory; and  
4 receiving a plurality of analog signals from the optoelectronic device, the  
5 analog signals corresponding to operating conditions of the optoelectronic device,  
6 converting the received analog signals into digital values, and storing the digital  
7 values in predefined locations within the memory;  
8 wherein the method is performed by a single-chip controller integrated circuit.
- 1 18. A method of controlling an optoelectronic transceiver having a laser  
2 transmitter and a photodiode receiver, comprising:  
3 in accordance with instructions received from a host device, reading from and  
4 writing to memory mapped locations within a controller of the optoelectronic  
5 transceiver;  
6 receiving a plurality of analog signals from the laser transmitter and  
7 photodiode receiver, converting the received analog signals into digital values, and  
8 storing the digital values in predefined memory mapped locations within the  
9 controller;

10        comparing the digital values with limit values, generating flag values based on  
11        the limit values, and storing the flag values in predefined memory mapped locations  
12        within the controller;  
13        generating control signals to control operation of the laser transmitter in  
14        accordance with one or more values stored in the predefined memory mapped  
15        locations within the controller;  
16        analog to digital conversion circuitry for receiving a plurality of analog signals  
17        from the laser transmitter and photodiode receiver, converting the received analog  
18        signals into digital values, and storing the digital values in predefined memory  
19        mapped locations within the controller.

1    19.    The method of claim 18, further including:  
2        generating and storing in a register a time value corresponding to cumulative  
3        operation time of the transceiver, wherein the register in which the time value is  
4        accessed by the reading step as a memory mapped within the controller.

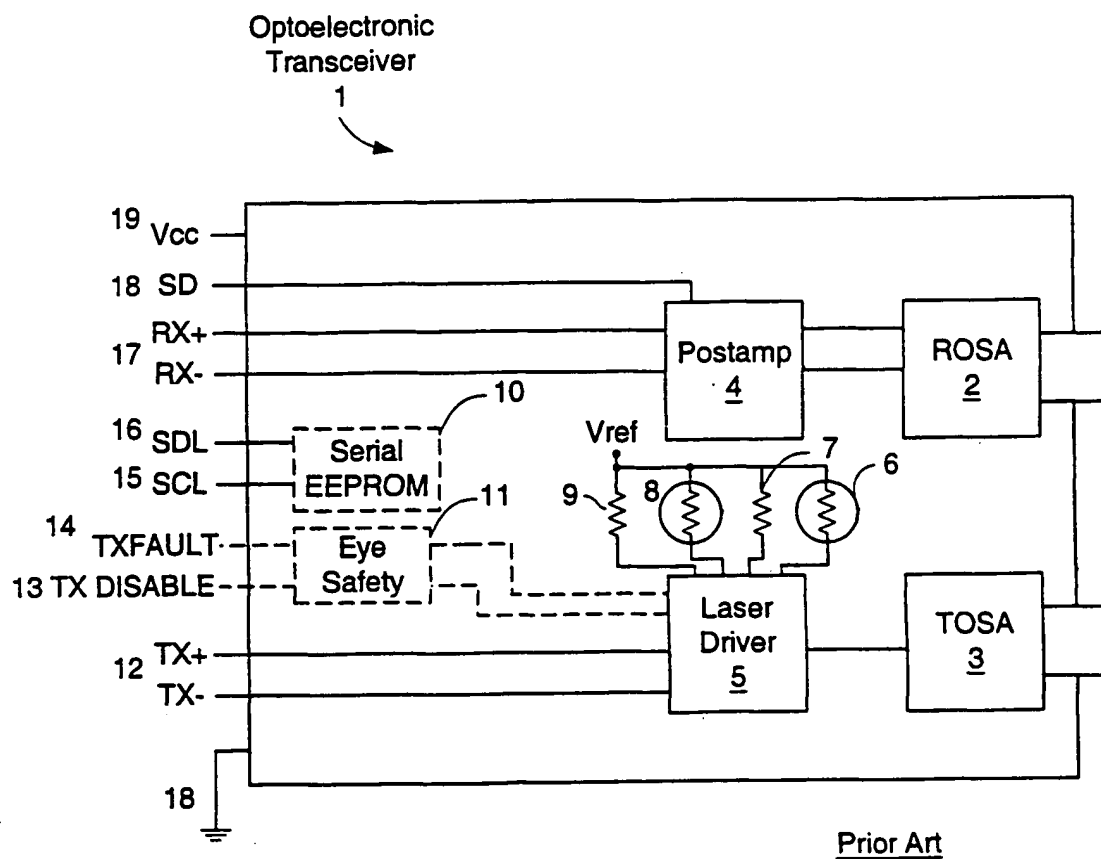


Fig. 1

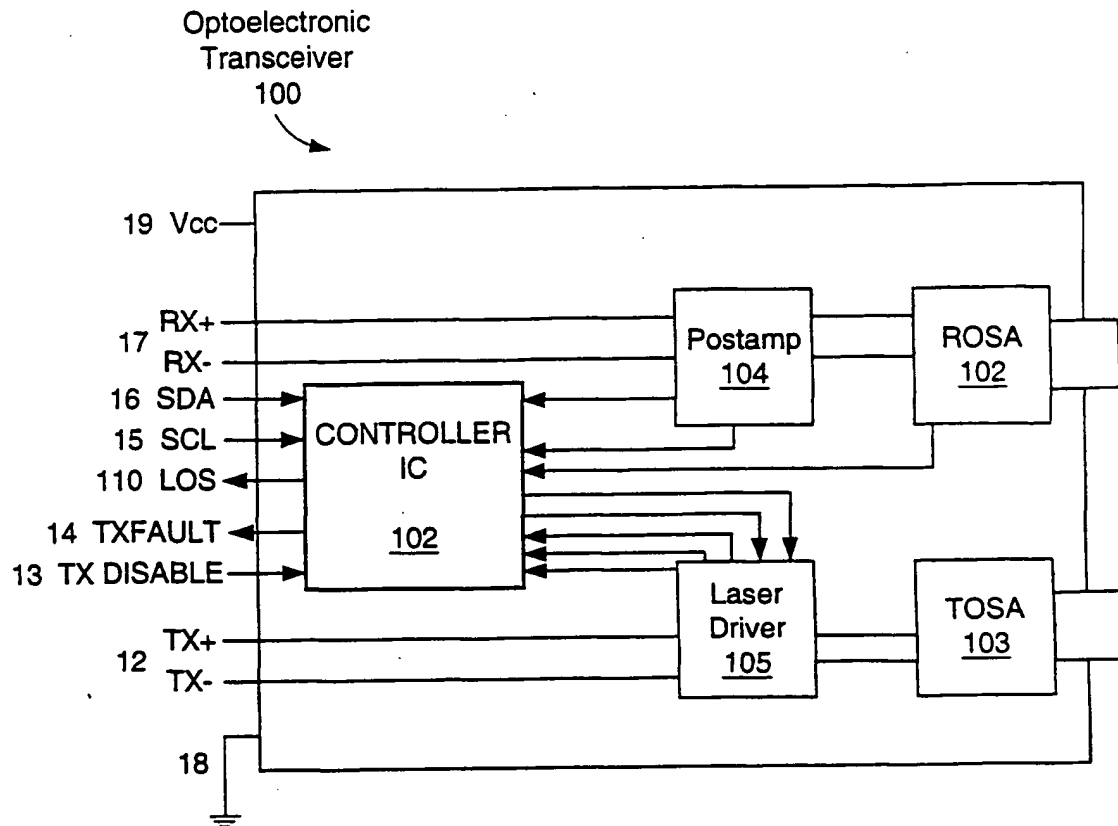


Fig. 2



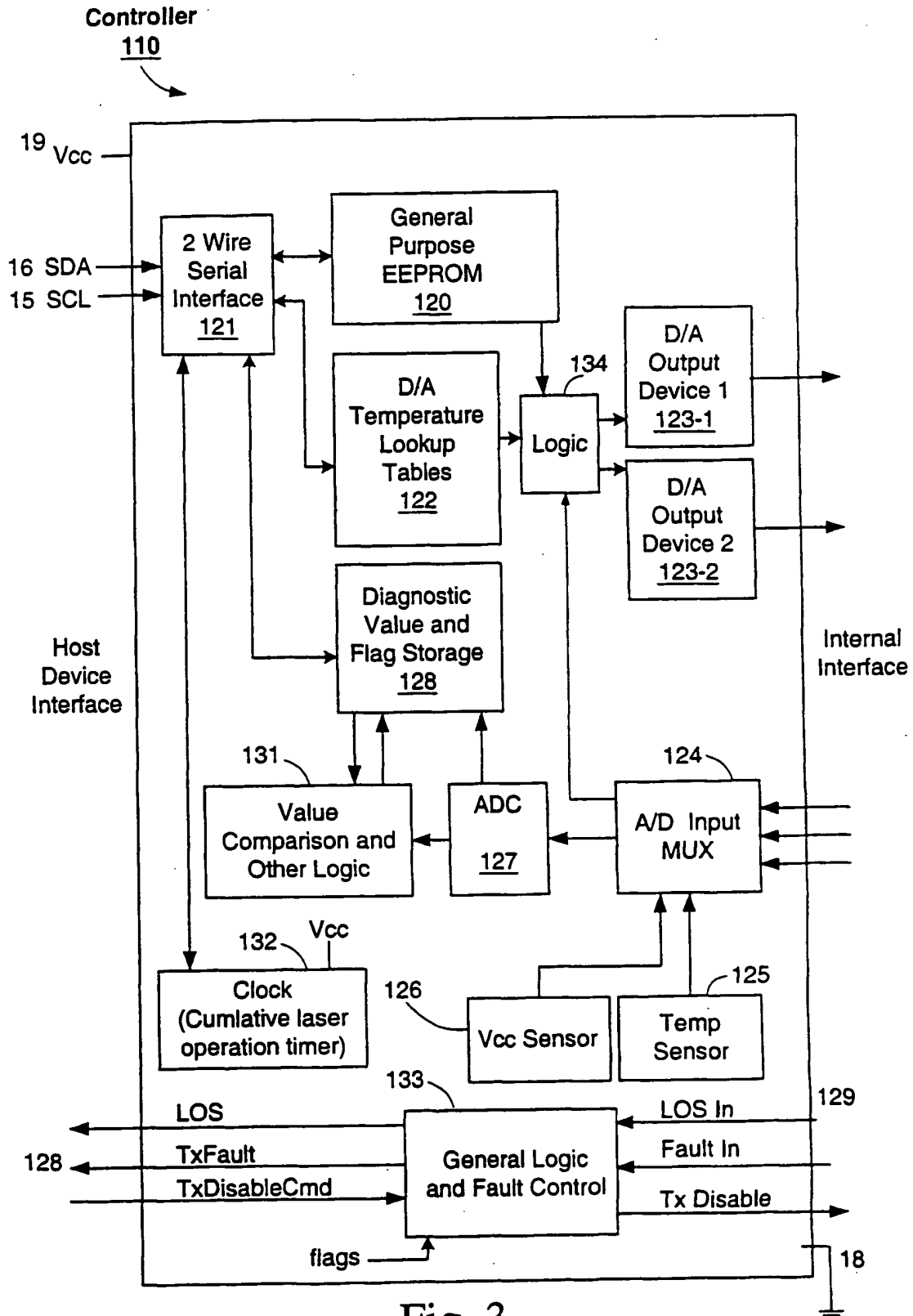


Fig. 3

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/03226

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
IPC(7) : H04B 10/00		
US CL : 359/152		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) U.S. : 359/110, 152, 180, 187; 257/80		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,057,932 A (LANG) 15 October 1991 (15.10.1991), Figures 2 and 3.	1-19
Y	US 6,023,147 A (CARGIN, Jr. et al.) 08 February 2000 (08.02.2000), columns 22 and 23.	1-19
Y	US 6,010,538 A (SUN et al.) 04 January 2000 (04.01.2000), Figure 10.	1-19
Y	US 6,115,113 A (FLOCKENCIER) 05 September 2000 (05.09.2000), Figure 3 and columns 6-8.	1-19
Y	US 5,943,152 A (MIZRAHI et al.) 24 August 1999 (24.08.1999), entire document.	4-6, 13-15
Y	US 6,012,947 A (SWARTZ) 08 February 2000 (08.02.2000), entire document.	1-19
Y	US H1,881 H (DAVIS et al.) 03 October 2000 (03.10.2000), Figure 8.	1-19
Y	US 4,545,078 A (WIEDEBURG) 01 October 1985 (01.10.1985), Figure 2.	1-19
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
<b>Special categories of cited documents:</b>		
* "A" document defining the general state of the art which is not considered to be of particular relevance	* "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	
* "E" earlier application or patent published on or after the international filing date	* "X" documents of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	
* "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	
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* "P" document published prior to the international filing date but later than the priority date claimed		
Date of the actual completion of the international search	Date of mailing of the international search report	
15 April 2002 (15.04.2002)	09 MAY 2002	
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703)305-3230	Authorized officer Jason Chan Telephone No. 703-305-4700	